

PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

Docket No: Q91332

Daigo TAGUCHI, et al.

Appln. No.: 10/556,068

Group Art Unit: 2616

Confirmation No.: 6054

Examiner: Not Yet Assigned

Filed: November 8, 2005

For: DISTRIBUTION CONTROL METHOD AND DISTRIBUTION CONTROL SYSTEM FOR
DIGITAL INFORMATION

REQUEST FOR CORRECTED OFFICIAL FILING RECEIPT

ATTN: Office of Initial Patent Examination

Filing Receipt Correction

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

Sir:

We enclose a copy of the Official Filing Receipt for the above-identified application and request the following corrections:

Please correct the **FIL FEE REC'D** section of the Official Filing Receipt as shown below.

FIL FEE REC'D ~~2250~~ 2500

Please correct the **DRAWINGS** section of the Official Filing Receipt as shown below.

DRAWINGS ~~23~~ 22

Verification for the requested corrections is indicated on the Application Transmittal filed November 8, 2005, and PTO Date Stamped Filing Receipt of November 8, 2005, copies of which are enclosed herewith.

Respectfully submitted,



Howard L. Bernstein

Registration No. 25,665

SUGHRUE MION, PLLC

Telephone: (202) 293-7060

Facsimile: (202) 293-7860

WASHINGTON OFFICE

23373

CUSTOMER NUMBER

Date: March 16, 2007



UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE
 United States Patent and Trademark Office
 Address: COMMISSIONER FOR PATENTS
 P.O. Box 1450
 Alexandria, Virginia 22313-1450
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APPL NO.	FILING OR 371 (c) DATE	ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLMS	IND CLMS
10/556,068	11/08/2005	2616	2250 2500	Q91332	23 22	23	9

CONFIRMATION NO. 6054

23373
 SUGHRUE MION, PLLC
 2100 PENNSYLVANIA AVENUE, N.W.
 SUITE 800
 WASHINGTON, DC 20037

FILING RECEIPT



OC000000021271487

Date Mailed: 11/21/2006

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please mail to the Commissioner for Patents P.O. Box 1450 Alexandria Va 22313-1450. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Daigo Taguchi, Tokyo, JAPAN; -
 Yuichi Kaji, Tokyo, JAPAN; -
 Jun Noda, Tokyo, JAPAN; -

Assignment For Published Patent Application

NEC CORPORATION, TOKYO, JAPAN -

Power of Attorney: The patent practitioners associated with Customer Number 23373. -

Domestic Priority data as claimed by applicant

This application is a 371 of PCT/JP04/06042 04/27/2004 -

Foreign Applications

JAPAN 2003-131005 05/09/2003 -

If Required, Foreign Filing License Granted: 11/16/2006

The country code and number of your priority application, to be used for filing abroad under the Paris Convention, is **US10/556,068**

Projected Publication Date: 02/22/2007

Non-Publication Request: No

Early Publication Request: No

Title

Distribution control method and distribution control system for digital information -

Preliminary Class

370

PROTECTING YOUR INVENTION OUTSIDE THE UNITED STATES

Since the rights granted by a U.S. patent extend only throughout the territory of the United States and have no effect in a foreign country, an inventor who wishes patent protection in another country must apply for a patent in a specific country or in regional patent offices. Applicants may wish to consider the filing of an international application under the Patent Cooperation Treaty (PCT). An international (PCT) application generally has the same effect as a regular national patent application in each PCT-member country. The PCT process **simplifies** the filing of patent applications on the same invention in member countries, but **does not result** in a grant of "an international patent" and does not eliminate the need of applicants to file additional documents and fees in countries where patent protection is desired.

Almost every country has its own patent law, and a person desiring a patent in a particular country must make an application for patent in that country in accordance with its particular laws. Since the laws of many countries differ in various respects from the patent law of the United States, applicants are advised to seek guidance from specific foreign countries to ensure that patent rights are not lost prematurely.

Applicants also are advised that in the case of inventions made in the United States, the Director of the USPTO must issue a license before applicants can apply for a patent in a foreign country. The filing of a U.S. patent application serves as a request for a foreign filing license. The application's filing receipt contains further information and guidance as to the status of applicant's license for foreign filing.

Applicants may wish to consult the USPTO booklet, "General Information Concerning Patents" (specifically, the section entitled "Treaties and Foreign Patents") for more information on timeframes and deadlines for filing foreign patent applications. The guide is available either by contacting the USPTO Contact Center at 800-786-9199, or it can be viewed on the USPTO website at <http://www.uspto.gov/web/offices/pac/doc/general/index.html>.

For information on preventing theft of your intellectual property (patents, trademarks and copyrights), you may wish to consult the U.S. Government website, <http://www.stopfakes.gov>. Part of a Department of Commerce initiative, this website includes self-help "toolkits" giving innovators guidance on how to protect intellectual property in specific countries such as China, Korea and Mexico. For questions regarding patent enforcement issues, applicants may call the U.S. Government hotline at 1-866-999-HALT (1-866-999-4158).

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NOT GRANTED

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).



Howard L. Bernstein
T (202) 663-7937
hbernstein@sughrue.com

2100 Pennsylvania Avenue, NW
Washington, DC 20037-3213

T 202.293.7060
F 202.293.7860

401 Castro Street
Mountain View, CA 94041-2007

T 650.625.8100
F 650.625.8110

AIG Bldg. 14F
1-1-3 Marunouchi
Chiyoda-ku Tokyo 100-0005
Japan

T 03.5220.0200
F 03.5220.0222

www.sughrue.com

November 8, 2005

MAIL STOP PCT

Commissioner for Patents
P.O. BOX 1450
Alexandria, VA 22313-1450

PCT/JP2004/006042
-filed April 27, 2004

Re: Application of Daigo TAGUCHI, Yuichi KAJI and Jun NODA
DISTRIBUTION CONTROL METHOD AND DISTRIBUTION CONTROL
SYSTEM FOR DIGITAL INFORMATION
Assignee: NEC CORPORATION
Our Ref: Q91332

Dear Sir:

The following documents are submitted herewith in connection with the above application for the purpose of entering the National stage under 35 U.S.C. §371 and in accordance with the Patent Cooperation Treaty:

- ☒ a copy of the executed Declaration and Power of Attorney.
- ☒ an English translation of the International Application together with a copy of the front page of WO 2004/099998.
- ☒ 22 sheets of drawings.
- ☒ an English translation of Article 34 amendments (annexes to the IPER).
- ☒ a copy of the executed Assignment and PTO 1595 form.
- ☒ a copy of the ISR.
- ☐ a copy of Form PCT/IB/304 (Notification Concerning Submission of Priority Document).
- ☒ an Information Disclosure Statement (IDS) with a PTO/SB/08 A & B (modified) listing the IDS references.
- ☒ a copy of each reference cited in the IDS.
- ☒ a Preliminary Amendment.

In addition to the documents submitted herewith, it is assumed that copies of the International Application, the International Search Report and cited references, the International Preliminary Examination Report, and any Articles 19 and 34 amendments as required by §371(c) will be supplied directly by the International Bureau, but if further copies are needed, the undersigned will undertake to provide them upon request.



Commissioner for Patents
New U.S. National Stage Entry of PCT/JP2004/006042
Page 2

It is expressly requested that the national stage of processing be commenced immediately in accordance with 35 U.S.C. § 371(f).

The Government filing fee is calculated, based on the attached Preliminary Amendment, as follows:

Total claims	<u>23</u>	-	<u>20</u>	=	<u>3</u>	x	\$50.00	=	<u>\$150.00</u>
Independent claims	<u>9</u>	-	<u>3</u>	=	<u>6</u>	x	\$200.0	=	<u>\$1200.00</u>
							0		
Base Fee									<u>\$300.00</u>
Search Fee*									<u>\$400.00</u>
Examination Fee*									<u>\$200.00</u>
Excess Application Pages									<u>\$250.00</u>
TOTAL FILING FEE									<u>\$2500.00</u>
Recordation of Assignment									<u>\$ 40.00</u>
<u>TOTAL FEE</u>									<u>\$2540.00</u>

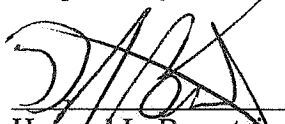
* The international search fee for all claims was not paid to the USPTO, as the ISA, but the ISR is being submitted herewith.

Checks for the statutory filing fee of \$2500.00 and Assignment recordation fee of \$40.00 are attached. The USPTO is directed and authorized to charge all required fees, except for the Issue Fee and the Publication Fee, to Deposit Account No. 19-4880. Please also credit any overpayments to said Deposit Account. A duplicate copy of this transmittal letter is attached.

Priority is claimed from:

<u>Country</u>	<u>Application No</u>	<u>Filing Date</u>
Japan	2003-131005	May 9, 2003

Respectfully submitted,


Howard L. Bernstein
Registration No. 25,665

SUGHRUE MION, PLLC
Telephone: (202) 293-7060
Facsimile: (202) 293-7860

WASHINGTON OFFICE

23373

CUSTOMER NUMBER

Date: November 8, 2005

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REQUEST FOR EARLY NOTIFICATION OF SERIAL NUMBER

Inventor(s): Daigo TAGUCHI, Yuichi KAJI and Jun NODA

Title: DISTRIBUTION CONTROL METHOD AND DISTRIBUTION
CONTROL SYSTEM FOR DIGITAL INFORMATION

Atty Doc. #: Q91332 Client: PREZIO IP FIRM

Filing Date: November 8, 2005 # Pgs. Spec/Abst: 79/1 #Claims: 23/9

Dwg. Sheets: 22 Decl yes Prelim Amdt yes

IDS/Prior Art: yes Asgmt: yes Fee: \$2500.00/\$40.00

☒ Checks Attached ☐ Charge to Deposit # 19-4880 Atty/Sec:
HLB/mrp

SERIAL NO.:

CONF NO.:

